

Global Content Management *Made Easy* for Financial Services Organizations

Securities brokers, financial planners and other financial services organizations need an easy-to-use yet powerful, integrated content, document and workflow management system that enables them to store, access, manage, and share critical documents across a broad range of functions, departments and locations.

A typical office processes hundreds of physical documents each day including promissory notes, loan agreements, trade confirmations, wealth management documents, and client financial statements. Plus, to comply with SEC and NASD regulations, financial transaction records must remain secure and accessible for several years, placing tremendous strain on office staff responsible for filing, storing and retrieving huge volumes of paper documents. The majority of this information is handled and stored through inefficient manual processes due to the notion that an automated system is costly, disruptive to existing business processes and difficult to use.

Introducing TreenoGCM™

TreenoGCM is an easy-to-use and extremely powerful integrated content, document and workflow management system that enables financial services organizations to capture, organize and share any type of digital or paper-based document within a secure, central repository. System deployment is effortless, document retrieval is instant, integration with existing applications is seamless and the Treeno system can scale to support as few or as many users as your organization requires.

Treeno empowers financial services organizations to improve client satisfaction through streamlined operations, improved efficiency, reduced costs and simplified audit preparation.

Transform your organization without disruption

Deploying and operating a content management system should be as simple and effortless as using familiar line-of-business applications while existing workflow processes remain intact. TreenoGCM's powerful and robust feature set, in conjunction with integrated collaboration and business process management capabilities will enable your organization to improve operational efficiency and productivity without changing the way your employees do business.

TreenoGCM integrates with legacy systems such as core processing, cash management, loan origination, call center, portfolio accounting, ERP and CRM solutions, delivering a single point of access to information and workflow across your financial enterprise, even with the most complex, multi-site network.

Simplify regulatory compliance

Reduce the audit preparation process from weeks of tedious work down to hours using Treeno's powerful system.

Financial services organizations are constantly under the gun when it comes to staying current with regulatory compliance processes. The first step towards ensuring compliance with security requirements including Gramm-Leach-Bliley Act, FFIEC,



The unmatched power of TreenoGCM:

- ▶ Instantly and easily finds any document entered into the system
- ▶ Makes content centrally available to all – *anytime and from anyplace*
- ▶ Allows familiar workflow processes to remain intact – *we work the way you work*
- ▶ Keeps sensitive documents secure; available only with assigned access rights
- ▶ Automates document tracking and auditing
- ▶ Simplifies records management
- ▶ Eliminates lost records
- ▶ Saves storage space
- ▶ Simplifies compliance and audit preparation



California SB 1386, and Sarbanes-Oxley is the increased control and visibility of your documents. In addition, certain regulatory bodies require that documents are purged according to a specific schedule when they arrive to conduct their periodic examinations. The demand is for a system that will integrate with existing business processes for tracking and managing digital and paper-based content across all enterprise wide systems—in real time.

TreenoGCM simplifies the regulatory compliance and audit preparation process for financial services organizations by storing, capturing, organizing and sharing necessary and appropriate documents in a centralized, secure repository, while automated business process management capabilities streamline information access, tracking and reporting.

Ensure document integrity and security

The ability to track and control sensitive information using a centralized repository and clear audit trail is paramount for financial services organizations. TreenoGCM includes comprehensive audit trail capabilities, including task date and time stamp, task originator, task description and related document activities. Treeno customers can virtually eliminate any possibility that documents stored in their system will be altered without detection, ensuring undisputed authenticity of records. And integrated notification capabilities inform users when relevant content within the repository is updated.

Disaster recovery is also greatly improved, as items previously managed via fax, mail and other paper means are now stored in the Treeno repository server. With TreenoGCM, documents of all types can be backed up in a secure fashion and protected from theft, accidental loss or destruction.

Financial services organizations rely on TreenoGCM to:

- ▶ Ensure regulatory compliance and reduce audit preparation time (i.e., GLB and Sarbanes-Oxley)
- ▶ Secure and protect sensitive information and customer confidentiality
- ▶ Improve internal productivity and strengthen member service
- ▶ Reduce operating costs (storage, retrieval, photocopying, and administration)
- ▶ Enable productivity tracking and accountability, ensuring turnaround requirements are always met
- ▶ Streamline integration with existing management systems
- ▶ Exchange data rapidly with all departments
- ▶ Simplify enterprise wide access to critical documents
- ▶ Reduce demand for physical storage space

Financial services organizations around the world are enhancing customer service quality and improving productivity with TreenoGCM. To learn more about how Treeno can help your organization, call us at **1-800-528-5005** or visit us on the Web at **www.treenosoftware.com**



"We needed a single, secure system that would allow our employees and business partners to efficiently store, access and process critical customer documents. TreenoGCM helped us to accomplish this goal while simplifying our audit preparation process through improved auditing and tracking capabilities."

– Brandon Hall, IT Director for Heartland Financial Services.



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